

THE ASINP QUESTIONNAIRE

Strengthening Architectures for the Security of Identification of Natural Persons in the EU Member States

INTRODUCTION

Context

The Council of the European Union has in their council conclusions of December 2010 called for an active policy on preventing and combating identity-related crimes. Identity plays a very important role in society. From a legal point of view identity can give access to citizenship, to rights and to services. Once a person is considered as a resident of a European Union country, that person becomes a European citizen and is habilitated to enjoy every fundamental right linked to this status. Identity-fraud can thus lead to misuse of these services and rights. This makes it important to prevent and combat any form of misuse of public (or legal) identity. In view of these risks, the Council has expressed a need to strengthen the management procedures relating to the identity chain in Member States and to develop a joint strategy in the matter.

ASINP-project

The ASINP-project aims at strengthening the architecture for the identification of natural persons in the EU member states. The creation of a public identity is a national matter that can generate specific risks for identity related crimes and the consequences can affect other countries. This makes a common approach desirable.

This questionnaire

With the framework of anti-identity fraud , this ASINP-questionnaire aims to identify and describe in each EU Member State the identity management chain, including its qualities and its risks.

The ASINP-questionnaire is divided in 4 sections:

- A. Creation: creating of public identity after birth;
 - B1. Registration: registering an administrative identity and mobile identity (passport, ID-card) of national residents
 - B2. Registration: registering an administrative identity and mobile identity (passport, ID-card) of non-national residents
- C. Copy: issuing extracts of identity information for the use of an identity.

Each section is subdivided in questions about processes, quality of the system and risks.

Contact

In case you need assistance while filling out this questionnaire, please don't hesitate to contact us at Regioplan. We will be glad to help you out! You can reach us at:

ASINP-helpdesk@regioplan.nl or call 0031-20-5315.315 and ask for ms. Elske Oranje, ms. Jeanine Klaver, mr. Arend Odé or mr. Bob van Waveren.

A. CREATION PROCESS (creating a public identity)

A. Questions on the process

1. When a child is born, how is the birth notified and which information is notified?

2. Who is authorised to declare a birth to the official administration (e.g. the father and/or the mother of the child, a representative of the maternity, other authorised person)?

3. Does the notification or declaration procedure for a birth require official witnesses? If yes, please specify.

4. How does the declaration take place (e.g. through the post, electronic transfer, physical presence at the municipal authority, embassy/consulate)?

5. Which authority is responsible for officially registering a birth?

6. How is the official birth certificate produced (e.g. a manual retranscription in a book, an electronic task etc)?

7. Which data is registered on this official birth certificate?

8. Is the registration procedure of a birth different for national citizens born abroad ? Please specify.

9. Is the registration procedure of a birth different for a child of non national residents? Please specify.

10. Where and in which form is the birth certificate of a person stored (e.g. central/ local storage; a photocopy in a safe/ electronic copy)?

11. If the birth certificates are copied and/or transferred from one entity (or responsibility) to another, how is this carried out?

12. How does the child obtain a legal personality? (Is it e.g. with the creation of the birth certificate, the signature of a judge or the decision of a court)

13. Are modifications allowed of the information relative to the legal personality (e.g. name or gender)? If yes, please specify which information and who is authorized to do so?(e.g. a judge, another authority)

14. In which system or document are modifications relative to the legal personality registered?

15. Who is authorised to notify a death?

16. Which authority is responsible for registering a person's death and ending the legal personality?

--

17. What information is on this registration?

--

B. Questions on the quality of the system and informational risks

18. Please give an *overall evaluation* of the quality of the creation process in your country?

	Very poor	Poor	Average	Good	Very good
Quality of the creation process					

Please specify your judgment:

--

The section below contains questions concerning the perceived vulnerabilities and risks of the different aspects of the creation process. For each aspect the sequence of questions is as follows:

- Questions on perception of risks and specification of nature of risk
- Questions on assessment of the seriousness of impact in case an informational risk occurs and the potentiality of the occurrence of an informational risk.

For an assessment of the seriousness of the impact of a risk , use the following table:		For an assessment of the potentiality of occurrence of a risk , use the following table:	
0	Insignificant	0	Unlikely
1	Light	1	Likely
2	Average	2	Possible
3	Serious	3	Probable
4	Critical	4	Known
5	Catastrophic	5	Frequent

Quality of information

Birth certificate

19. Do you perceive any substantial risks regarding the quality of information/document of the birth certificate? If yes, please specify only **the most substantial risk** in the column 'specification of risk' and indicate in the column 'C, I, A' if that substantial risk means a risk of confidentiality of data, a risk of integrity of data or a risk of availability of data. You may choose more than one option.

	No	Yes	Specification of risk	C, I, A		
a. Birth certificate					Confidentiality	
					Integrity	
					Availability	

If **no risks** are identified above, please go to question number 21.

20. Please give for the perceived risks regarding the birth certificate a *general evaluation* of the seriousness of impact and the potentiality of occurrence in a scale from 0 to 5 (0=insignificant impact /unlikely occurrence, 5=catastrophic impact/ frequent occurrence).

	A: Seriousness of impact					B: Potentiality of occurrence						
	0	1	2	3	4	5	0	1	2	3	4	5
Risks concerning the birth certificate												

Death certificate

21. Do you perceive any substantial risks regarding the quality of information/document of the death certificate? If yes, please specify only **the most substantial risk** in the column 'specification of risk' and indicate in the column 'C, I, A' if that substantial risk means a risk of confidentiality of data, a risk of integrity of data or a risk of availability of data. You may choose more than one option.

	No	Yes	Specification of risk	C, I, A		
b. Death certificate					Confidentiality	
					Integrity	
					Availability	

If **no risks** are identified above, please go to question number 23.

22. Please give for the perceived risks regarding the death certificate a *general evaluation* of the seriousness of impact and the potentiality of occurrence in a scale from 0 to 5 (0=insignificant impact /unlikely occurrence, 5=catastrophic impact/ frequent occurrence).

	A: Seriousness of impact					B: Potentiality of occurrence						
Risks concerning the death certificate	0	1	2	3	4	5	0	1	2	3	4	5

Quality of activities/processes

Declaration

23. Do you perceive any substantial risks regarding the quality of the different processes and procedures of the creation process, such as the declaration of a birth and the creation of an original identity? If yes, please specify only **the most substantial risk** in the column 'specification of risk' and indicate in the column 'C, I, A' if that substantial risk means a risk of confidentiality of procedures, a risk of integrity of procedures or a risk of availability of procedures. You may choose more than one option.

	No	Yes	Specification of risk	C, I, A
a. Declaration of a birth and creation of original identity				Confidentiality
				Integrity
				Availability

If **no risks** are identified above, please go to question number 25.

24. Please give for the perceived risks regarding the declaration of a birth a *general evaluation* of the seriousness of impact and the potentiality of occurrence in a scale from 0 to 5 (0=insignificant impact /unlikely occurrence, 5=catastrophic impact/ frequent occurrence).

	A: Seriousness of impact					B: Potentiality of occurrence						
Risks concerning the declaration of a birth	0	1	2	3	4	5	0	1	2	3	4	5

Archiving

25. Do you perceive any substantial risks regarding the quality of the different processes and procedures of the creation process, such as the archiving (local safeguarding) of birth and death notices? If yes, please specify only **the most substantial risk** in the column 'specification of risk' and indicate in the column 'C, I, A' if that substantial risk means a risk of confidentiality of procedures, a risk of integrity of procedures or a risk of availability of procedures. You may choose more than one option.

	No	Yes	Specification of risk	C, I, A
b. Archiving (local safeguarding) of birth and death notices				Confidentiality
				Integrity
				Availability

If **no risks** are identified above, please go to question number 27.

26. Please give for the perceived risks regarding the archiving (local safeguarding) of birth and death notices a *general evaluation* of the seriousness of impact and the potentiality of occurrence in a scale from 0 to 5 (0=insignificant impact /unlikely occurrence, 5=catastrophic impact/ frequent occurrence).

	A: Seriousness of impact					B: Potentiality of occurrence						
	0	1	2	3	4	5	0	1	2	3	4	5
Risks concerning the archiving of birth and death notices												

Transmission

27. Do you perceive any substantial risks regarding the quality of the different processes and procedures of the creation process, such as the transmission of a copy of the birth certificate to competent authorities for ulterior actions? If yes, please specify only **the most substantial risk** in the column 'specification of risk' and indicate in the column 'C, I, A' if that substantial risk means a risk of confidentiality of procedures, a risk of integrity of procedures or a risk of availability of procedures. You may choose more than one option.

	No	Yes	Specification of risk	C, I, A
c. Transmission of a copy of a birth certificate to competent authorities				Confidentiality
				Integrity
				Availability

If **no risks** are identified above, please go to question number 29.

28. Please give for the perceived risks regarding the transmission of a copy of the birth certificate to competent authorities for ulterior actions a *general evaluation* of the seriousness of impact and the potentiality of occurrence in a scale from 0 to 5 (0=insignificant impact /unlikely occurrence, 5=catastrophic impact/ frequent occurrence).

	A: Seriousness of impact						B: Potentiality of occurrence					
	0	1	2	3	4	5	0	1	2	3	4	5
Risks concerning the transmission of a copy of the birth certificate												

Frost

29. Do you perceive any substantial risks regarding the quality of the different processes and procedures of the creation process, such as the procedure of removal of the legal person in event of death (frost) to avoid fraud or misuse? If yes, please specify only **the most substantial risk** in the column 'specification of risk' and indicate in the column 'C, I, A' if that substantial risk means a risk of confidentiality of procedures, a risk of integrity of procedures or a risk of availability of procedures. You may choose more than one option.

	No	Yes	Specification of risk	C, I, A
d. Frost: procedure of removal of legal person in event of death				Confidentiality
				Integrity
				Availability

If **no risks** are identified above, please go to question number 31.

30. Please give for the perceived risks regarding the procedure of removal of a legal person in the event of death (frost) a *general evaluation* of the seriousness of impact and the potentiality of occurrence in a scale from 0 to 5 (0=insignificant impact /unlikely occurrence, 5=catastrophic impact/ frequent occurrence).

	A: Seriousness of impact						B: Potentiality of occurrence					
	0	1	2	3	4	5	0	1	2	3	4	5
Risks concerning the procedure of removal of the legal person in event of death (frost)												

Quality of the actors

Official witnesses

31. Do you perceive any substantial risks regarding the quality of the actors involved in the creation process, such as the official witnesses involved in the notification/declaration of a birth? If yes, please specify only **the most substantial risk** in the column 'specification of risk' and indicate in the column 'C, A, A, R' if that substantial risk means a risk of competence of the actors, a risk of authorization of the actors, a risk of availability of the actors or a risk of reliability of the actors. You may choose more than one option.

	No	Yes	Specification of risk	C, A, A, R
a. Official witnesses				Competence
				Authorization
				Availability
				Reliability

If **no risks** are identified above, please go to question number 33.

32. Please give for the perceived risks regarding the official witnesses a *general evaluation* of the seriousness of impact and the potentiality of occurrence in a scale from 0 to 5 (0=insignificant impact /unlikely occurrence, 5=catastrophic impact/ frequent occurrence).

	A: Seriousness of impact					B: Potentiality of occurrence						
	0	1	2	3	4	5	0	1	2	3	4	5
Risks concerning the official witnesses involved in the notification-declaration of a birth												

Agent responsible for registration

33. Do you perceive any substantial risks regarding the quality of the actors involved in the creation process, such as the qualified agent responsible for the registration of a birth? If yes, please specify only **the most substantial risk** in the column 'specification of risk' and indicate in the column 'C, A, A, R' if that substantial risk means a risk of competence of the actors, a risk of authorization of the actors, a risk of availability of the actors or a risk of reliability of the actors. You may choose more than one option.

	No	Yes	Specification of risk	C, A, A, R
b. Qualified agent responsible for registering a birth				Competence
				Authorization
				Availability
				Reliability

If **no risks** are identified above, please go to question number 35.

34. Please give for the perceived risks regarding the qualified agent a *general evaluation* of the seriousness of impact and the potentiality of occurrence in a scale from 0 to 5 (0=insignificant impact /unlikely occurrence, 5=catastrophic impact/ frequent occurrence).

	A: Seriousness of impact						B: Potentiality of occurrence					
	0	1	2	3	4	5	0	1	2	3	4	5
Risks concerning the qualified agent responsible for registering a birth												

Civil servant in charge of controlling

35. Do you perceive any substantial risks regarding the quality of the actors involved in the creation process, such as the qualified civil servant in charge of controlling the agent responsible for registering a birth? If yes, please specify only **the most substantial risk** in the column 'specification of risk' and indicate in the column 'C, A, A, R' if that substantial risk means a risk of competence of the actors, a risk of authorization of the actors, a risk of availability of the actors or a risk of reliability of the actors. You may choose more than one option.

	No	Yes	Specification of risk	C, A, A, R
c. Qualified civil servant in charge of controlling the qualified agent				Competence
				Authorization
				Availability
				Reliability

If **no risks** are identified above, please go to question number 37.

36. Please give for the perceived risks regarding the qualified civil servant a *general evaluation* of the seriousness of impact and the potentiality of occurrence in a scale from 0 to 5 (0=insignificant impact /unlikely occurrence, 5=catastrophic impact/ frequent occurrence).

	A: Seriousness of impact						B: Potentiality of occurrence					
	0	1	2	3	4	5	0	1	2	3	4	5
Risks concerning the qualified civil servant in charge of controlling the qualified agent												

Quality of locations

Administration where birth is declared

37. Do you perceive any substantial risks regarding the locations-administrative entities where the creation process is taking place, such as the administration where the birth is declared? If yes, please specify only **the most substantial risk** in the column 'specification of risk' and indicate in the column 'A, A' if that substantial risk means a risk of availability or a risk of access control. You may choose more than one option.

	No	Yes	Specification of risk	A, A
a. Administration where the birth is declared				Availability
				Access control

If **no risks** are identified above, please go to question number 39.

38. Please give for the perceived risks regarding the administration where the birth is declared a *general evaluation* of the seriousness of impact and the potentiality of occurrence in a scale from 0 to 5 (0=insignificant impact /unlikely occurrence, 5=catastrophic impact/frequent occurrence).

	A: Seriousness of impact					B: Potentiality of occurrence						
	0	1	2	3	4	5	0	1	2	3	4	5
Risks concerning the administration where the birth is declared												

Administration where birth is processed

39. Do you perceive any substantial risks regarding the locations-administrative entities where the creation process is taking place, such as the administration where the birth is processed? If yes, please specify only **the most substantial risk** in the column 'specification of risk' and indicate in the column 'A, A' if that substantial risk means a risk of availability or a risk of access control. You may choose more than one option.

	No	Yes	Specification of risk	A, A
b. Administration where the birth is processed				Availability
				Access control

If **no risks** are identified above, please go to question number 41.

40. Please give for the perceived risks regarding the administration where the birth is processed a *general evaluation* of the seriousness of impact and the potentiality of occurrence in a scale from 0 to 5 (0=insignificant impact /unlikely occurrence, 5=catastrophic impact/ frequent occurrence).

	A: Seriousness of impact						B: Potentiality of occurrence					
Risks concerning the administration where the birth is processed	0	1	2	3	4	5	0	1	2	3	4	5

Transmission of information between entities

41. Do you perceive any substantial risks regarding the transmission of information/document between the different entities in the creation process, such as the transmission between the entity that notes the birth and the administration to which the event is declared? If yes, please specify only **the most substantial risk** in the column 'specification of risk' and indicate in the column 'A, A, R' if that substantial risk means a risk of authenticity, availability or reliability. You may choose more than one option.

	No	Yes	Specification of risk	A, A, R
a. Transmission between entity that notes birth and administration to which event is declared				Authenticity
				Availability
				Reliability

If **no risks** are identified above, please go to question number 43.

42. Please give for the perceived risks regarding the transmission of information between the entity that notes the birth and the administration to which the event is declared a *general evaluation* of the seriousness of impact and the potentiality of occurrence in a scale from 0 to 5 (0=insignificant impact /unlikely occurrence, 5=catastrophic impact/ frequent occurrence).

	A: Seriousness of impact						B: Potentiality of occurrence					
Risks concerning the transmission between entity that notes birth and administration to which event is declared	0	1	2	3	4	5	0	1	2	3	4	5

43. Do you perceive any substantial risks regarding the transmission of information/document between the different entities in the creation process, such as the transmission between the administration that acts the birth and the location where the information is archived? If yes, please specify only **the most substantial risk** in the column 'specification of risk' and indicate in the column 'A, A, R' if that substantial risk means a risk of authenticity, availability or reliability. You may choose more than one option.

	No	Yes	Specification of risk	A, A, R
b. Transmission between the administration that acts the birth and the location where the information is archived				Authenticity
				Availability
				Reliability

If **no risks** are identified above, please go to question number 45.

44. Please give for the perceived risks regarding the transmission between the administration that acts the birth and the location where the information is archived a *general evaluation* of the seriousness of impact and the potentiality of occurrence in a scale from 0 to 5 (0=insignificant impact /unlikely occurrence, 5=catastrophic impact/frequent occurrence).

	A: Seriousness of impact					B: Potentiality of occurrence						
	0	1	2	3	4	5	0	1	2	3	4	5
Risks concerning the transmission between the administration that acts the birth and the location where the information is archived												

Measures

45. In the previous section you have indicated several security risks regarding the different aspects of the creation process. Please indicate and specify if any (recent/extra) steps are taken to address these specific security risks?

REGISTRATION PROCESS (follow-up of the identity throughout the person's life)

REMARKS

This Registration Section deals with questions about:

- The administrative identity of natural persons, i.e. the administrative processing of a public identity after birth and in case of non-nationals after immigration.
- The mobile identity, which is the identity document which a person carries and by which means a natural person can identify himself officially. In this survey attention will be paid to the passport and the (mobile) identity card.

In view of possible differences in the registration of the administrative identity between national and non-national residents, this section is divided in two subsections, i.e. one for the registration of nationals (B1) and one for non nationals in your country (B2).

B1: REGISTRATION OF NATIONAL RESIDENTS

A. Questions on the process

Registering the administrative identity of a natural person

46. After registration of birth, is there any system in which the administrative identity of a person is registered? If yes, please specify in which system/registration (e.g. population register, Registry of Birth, Death and Marriage, tax-registers ect) and the entity which is responsible for the registration.

47. In what form is the administrative identity of a natural person registered (e.g. official act, registration in book, computer file).

48. What is the content of the administrative identity (i.e. what is the content of the identity record)?

49. How is the administrative identity of a person made available to the other authorities that might need it?

50. How can the uniqueness of the person's identity be ensured?

51. What modifications on an administrative identity are allowed? (e.g. address, marital status, occupation, etc...)

52. Who can *de facto* modify the administrative identity?

53. What procedure is used to modify this administrative identity? (Must the applicant appear physically or not? Must he identify and authenticate himself?)

54. Under what conditions is the administrative identity of a person who no longer gives any sign of being alive deactivated from the registers? (e.g. time of absence of signs of life, official proof of the person's absence in his/her residence or workplace, etc.)

55. Who is authorised to decide a deactivation from the registers? (e.g. local authority, central authority, judicial authority)

Creating and registering a mobile identity

56. What are the different types of mobile identity documents by which national residents can identify themselves officially?

57. How are the mobile identity documents produced? (e.g. by the municipal administration, a central administration, an external service provider) NB: only specify for passport and identity card.

58. What information is written down on the mobile identity document? NB: only specify for passport and identity card.

59. By what means are mobile identity documents delivered to the persons concerned? (e.g. by post, physically handed over to their bearer or the latter's proxy) NB: only specify for passport and identity card.

60. What signs of trust can be found on mobile identity documents? (e.g. stamps, manual signatures, electronic signatures, watermarked support, biometric proof, other) NB: only specify for passport and identity card.

B. Questions on the quality of the system and informational risks

61. Please give an *overall evaluation* of the quality of the registration process of national residents in your country?

	Very poor	Poor	Average	Good	Very good
Quality of the creation process					

Please specify your judgment:

The section below questions concerning the perceived vulnerabilities and risks of the different aspects of the registration process. For each aspect the sequence of questions is as follows:

- Questions on perception of risks and specification of nature of risk
- Questions on assessment of the seriousness of impact in case an informational risk occurs and the potentiality of the occurrence of an informational risk.

For an assessment of the seriousness of the impact of a risk , use the following table:		For an assessment of the potentiality of occurrence of a risk , use the following table:	
0	Insignificant	0	Unlikely
1	Light	1	Likely
2	Average	2	Possible
3	Serious	3	Probable
4	Critical	4	Known
5	Catastrophic	5	Frequent

Quality of information

Administrative identity

62. Do you perceive any substantial risks regarding the quality of different documents or information of the registration process, such as the administrative identity? If yes, please specify only **the most substantial risk** in the column 'specification of risk' and indicate in the column 'C, I, A' if that substantial risk means a risk of confidentiality of data, a risk of integrity of data or a risk of availability of data. You may choose more than one option.

	No	Yes	Specification of risk	C, I, A
a. Administrative identity				Confidentiality
				Integrity
				Availability

If **no risks** are identified above, please go to question number 64.

63. Please give for the perceived risks regarding the administrative identity a *general evaluation* of the seriousness of impact and the potentiality of occurrence in a scale from 0 to 5 (0=insignificant impact /unlikely occurrence, 5=catastrophic impact/ frequent occurrence).

	A: Seriousness of impact					B: Potentiality of occurrence						
Risks concerning the administrative identity	0	1	2	3	4	5	0	1	2	3	4	5

Mobile identity (passport, IDcard)

64. Do you perceive any substantial risks regarding the quality of different documents or information of the registration process, such as the mobile identity documents (passport, IDcard)? If yes, please specify only **the most substantial risk** in the column 'specification of risk' and indicate in the column 'C, I, A' if that substantial risk means a risk of confidentiality of data, a risk of integrity of data or a risk of availability of data. You may choose more than one option.

	No	Yes	Specification of risk	C, I, A
b. Mobile identity documents				Confidentiality
				Integrity
				Availability

If **no risks** are identified above, please go to question number 66.

65. Please give for the perceived risks regarding the mobile identity documents a *general evaluation* of the seriousness of impact and the potentiality of occurrence in a scale from 0 to 5 (0=insignificant impact /unlikely occurrence, 5=catastrophic impact/ frequent occurrence).

	A: Seriousness of impact					B: Potentiality of occurrence						
Risks concerning the mobile identity documents	0	1	2	3	4	5	0	1	2	3	4	5

Quality of activities/processes

Registration

66. Do you perceive any substantial risks regarding the quality of the different processes and procedures of the registration process, such as the registration and creation of the administrative identity? If yes, please specify only **the most substantial risk** in the column 'specification of risk' and indicate in the column 'C, I, A' if that substantial risk means a risk of confidentiality of procedures, a risk of integrity of procedures or a risk of availability of procedures. You may choose more than one option.

	No	Yes	Specification of risk	C, I, A
a. Registration and creation of an administrative identity				Confidentiality
				Integrity
				Availability

If **no risks** are identified above, please go to question number 68.

67. Please give for the perceived risks regarding the registration and creation of an administrative identity a *general evaluation* of the seriousness of impact and the potentiality of occurrence in a scale from 0 to 5 (0=insignificant impact /unlikely occurrence, 5=catastrophic impact/ frequent occurrence).

	A: Seriousness of impact					B: Potentiality of occurrence						
	0	1	2	3	4	5	0	1	2	3	4	5
Risks concerning registration and creation of an administrative identity												

Modification

68. Do you perceive any substantial risks regarding the quality of the different processes and procedures of the registration process, such as the update of an administrative identity (modification)? If yes, please specify only **the most substantial risk** in the column 'specification of risk' and indicate in the column 'C, I, A' if that substantial risk means a risk of confidentiality of procedures, a risk of integrity of procedures or a risk of availability of procedures. You may choose more than one option.

	No	Yes	Specification of risk	C, I, A
b. Modification: update of administrative identity				Confidentiality
				Integrity
				Availability

If **no risks** are identified above, please go to question number 70.

69. Please give for the perceived risks regarding the modification of an administrative identity a *general evaluation* of the seriousness of impact and the potentiality of occurrence in a scale from 0 to 5 (0=insignificant impact /unlikely occurrence, 5=catastrophic impact/ frequent occurrence).

	A: Seriousness of impact					B: Potentiality of occurrence						
	0	1	2	3	4	5	0	1	2	3	4	5
Risks concerning modification of an administrative identity												

(Central) storage

70. Do you perceive any substantial risks regarding the quality of the different processes and procedures of the registration process, such as making a copy of the administrative identity available to competent administrations ((central)storage)? If yes, please specify only **the most substantial risk** in the column 'specification of risk' and indicate in the column 'C, I, A' if that substantial risk means a risk of confidentiality of procedures, a risk of integrity of procedures or a risk of availability of procedures. You may choose more than one option.

	No	Yes	Specification of risk	C, I, A	
c. (Central) storage: making available to the competent administrations a copy of the administrative identity				Confidentiality	
				Integrity	
				Availability	

If **no risks** are identified above, please go to question number 72.

71. Please give for the perceived risks regarding the (central)storage of an administrative identity a *general evaluation* of the seriousness of impact and the potentiality of occurrence in a scale from 0 to 5 (0=insignificant impact /unlikely occurrence, 5=catastrophic impact/frequent occurrence).

	A: Seriousness of impact					B: Potentiality of occurrence						
	0	1	2	3	4	5	0	1	2	3	4	5
Risks concerning the (central) storage of an administrative identity												

Deactivation

72. Do you perceive any substantial risks regarding the quality of the different processes and procedures of the registration process, such as the suspension of the identity for a person who has not given any sign of life with the aim of avoiding misuse or fraud (deactivation)? If yes, please specify only **the most substantial risk** in the column 'specification of risk' and indicate in the column 'C, I, A' if that substantial risk means a risk of confidentiality of procedures, a risk of integrity of procedures or a risk of availability of procedures. You may choose more than one option.

	No	Yes	Specification of risk	C, I, A
d. Deactivation: suspension of the identity for a person who has not given any sign of life with the aim of avoiding misuse or fraud				Confidentiality
				Integrity
				Availability

If **no risks** are identified above, please go to question number 74.

73. Please give for the perceived risks regarding the deactivation of an administrative identity a *general evaluation* of the seriousness of impact and the potentiality of occurrence in a scale from 0 to 5 (0=insignificant impact /unlikely occurrence, 5=catastrophic impact/frequent occurrence).

	A: Seriousness of impact					B: Potentiality of occurrence						
	0	1	2	3	4	5	0	1	2	3	4	5
Risks concerning the deactivation of an administrative identity												

Quality of the actors

Qualified agent

74. Do you perceive any substantial risks regarding the quality of the actors involved in the registration process, such as the qualified agent that conducts the various activities described in the process of the registration of the administrative identity of natural persons? If yes, please specify only **the most substantial risk** in the column 'specification of risk' and indicate in the column 'C, A, A, R' if that substantial risk means a risk of competence of the actors, a risk of authorization of the actors, a risk of availability of the actors or a risk of reliability of the actors. You may choose more than one option.

	No	Yes	Specification of risk	C, A, A, R
a. Qualified agent				Competence
				Authorization
				Availability
				Reliability

If **no risks** are identified above, please go to question number 76.

75. Please give for the perceived risks regarding the qualified agent a *general evaluation* of the seriousness of impact and the potentiality of occurrence in a scale from 0 to 5 (0=insignificant impact /unlikely occurrence, 5=catastrophic impact/ frequent occurrence).

	A: Seriousness of impact					B: Potentiality of occurrence						
	0	1	2	3	4	5	0	1	2	3	4	5
Risks concerning the qualified agent that conducts the various activities described in the process of the registration of the administrative identity of natural persons												

Qualified civil servant

76. Do you perceive any substantial risks regarding the quality of the actors involved in the registration process, such as the qualified civil servant controlling the agent responsible for registration of the administrative identity? If yes, please specify only **the most substantial risk** in the column 'specification of risk' and indicate in the column 'C, A, A, R' if that substantial risk means a risk of competence of the actors, a risk of authorization of the actors, a risk of availability of the actors or a risk of reliability of the actors. You may choose more than one option.

	No	Yes	Specification of risk	C, A, A, R
b. Qualified agent				Competence
				Authorization
				Availability
				Reliability

If **no risks** are identified above, please go to question number 78.

77. Please give for the perceived risks regarding the qualified civil servant a *general evaluation* of the seriousness of impact and the potentiality of occurrence in a scale from 0 to 5 (0=insignificant impact /unlikely occurrence, 5=catastrophic impact/ frequent occurrence).

	A: Seriousness of impact					B: Potentiality of occurrence						
	0	1	2	3	4	5	0	1	2	3	4	5
Risks concerning the qualified civil servant controlling the agent responsible for registration of the administrative identity												

Quality of locations

Authority responsible for registration

78. Do you perceive any substantial risks regarding the locations-administrative entities where the registration process is taking place, such as the entity/authority responsible for registration? If yes, please specify only **the most substantial risk** in the column 'specification of risk' and indicate in the column 'A, A' if that substantial risk means a risk of availability or a risk of access control. You may choose more than one option.

	No	Yes	Specification of risk	A, A
a. Entity/authority responsible for registration				Availability
				Access control

If **no risks** are identified above, please go to question number 80.

79. Please give for the perceived risks regarding the entity/authority responsible for registration a *general evaluation* of the seriousness of impact and the potentiality of occurrence in a scale from 0 to 5 (0=insignificant impact /unlikely occurrence, 5=catastrophic impact/ frequent occurrence).

	A: Seriousness of impact					B: Potentiality of occurrence						
	0	1	2	3	4	5	0	1	2	3	4	5
Risks concerning the entity/authority responsible for registration												

Central administration (if applicable)

80. Do you perceive any substantial risks regarding the locations-administrative entities where the registration process is taking place, such as the central administration managing the identity of the persons? If yes, please specify only **the most substantial risk** in the column 'specification of risk' and indicate in the column 'A, A' if that substantial risk means a risk of availability or a risk of access control. You may choose more than one option.

	No	Yes	Specification of risk	A, A
b. (if applicable) Central administration managing the identity of the persons				Availability Access control

If **no risks** are identified above, please go to question number 82.

81. Please give for the perceived risks regarding the Central administration managing the identity of the persons a *general evaluation* of the seriousness of impact and the potentiality of occurrence in a scale from 0 to 5 (0=insignificant impact /unlikely occurrence, 5=catastrophic impact/ frequent occurrence).

	A: Seriousness of impact					B: Potentiality of occurrence						
	0	1	2	3	4	5	0	1	2	3	4	5
Risks concerning the Central administration managing the identity of the persons												

Producers of mobile identity documents

82. Do you perceive any substantial risks regarding the locations-administrative entities where the registration process is taking place, such as the administrations or subcontractors in charge of producing mobile identity documents? If yes, please specify only **the most substantial risk** in the column 'specification of risk' and indicate in the column 'A, A' if that substantial risk means a risk of availability or a risk of access control. You may choose more than one option.

	No	Yes	Specification of risk	A, A
c. Administrations or subcontractors in charge of producing mobile identity documents				Availability
				Access control

If **no risks** are identified above, please go to question number 84.

83. Please give for the perceived risks regarding the administrations or subcontractors in charge of producing mobile identity documents a *general evaluation* of the seriousness of impact and the potentiality of occurrence in a scale from 0 to 5 (0=insignificant impact /unlikely occurrence, 5=catastrophic impact/ frequent occurrence).

	A: Seriousness of impact						B: Potentiality of occurrence						
	0	1	2	3	4	5	0	1	2	3	4	5	
Risks concerning the administrations or subcontractors in charge of producing mobile identity documents													

Transmission of information between entities

84. Do you perceive any substantial risks regarding the transmission of information/document between the different entities in the registration process, such as the transmission of information between the administration that acts the birth and the competent administration for the population registers? If yes, please specify only **the most substantial risk** in the column 'specification of risk' and indicate in the column 'A, A, R' if that substantial risk means a risk of authenticity, availability or reliability. You may choose more than one option.

	No	Yes	Specification of risk	A, A, R
a. Transmission of information between the administration that acts the birth and the competent administration for the population registers				Authenticity
				Availability
				Reliability

If **no risks** are identified above, please go to the questions regarding the '**transmission between entities**', question number 86.

85. Please give for the perceived risks regarding the transmission of information between the administration that acts the birth and the competent administration for the population registers a *general evaluation* of the seriousness of impact and the potentiality of occurrence in a scale from 0 to 5 (0=insignificant impact /unlikely occurrence, 5=catastrophic impact/ frequent occurrence).

	A: Seriousness of impact					B: Potentiality of occurrence						
	0	1	2	3	4	5	0	1	2	3	4	5
Risks concerning the transmission of information between the administration that acts the birth and the competent administration for the population registers												

86. Do you perceive any substantial risks regarding the transmission of information/document between the different entities in the registration process, such as the transmission of information between administration that registers the administrative identity and the other administrations that make requests to manage the person's file? If yes, please specify only **the most substantial risk** in the column 'specification of risk' and indicate in the column ' A, A, R' if that substantial risk means a risk of authenticity, availability or reliability. You may choose more than one option.

	No	Yes	Specification of risk	A, A, R
b. Transmission of information between administration that registers the administrative identity and the other administrations that make requests to manage the person's file				Authenticity
				Availability
				Reliability

If **no risks** are identified above, please go to question number 88.

87. Please give for the perceived risks regarding the transmission of information between administration that registers the administrative identity and the other administrations that make requests to manage the person's file a *general evaluation* of the seriousness of impact and the potentiality of occurrence in a scale from 0 to 5 (0=insignificant impact /unlikely occurrence, 5=catastrophic impact/ frequent occurrence).

	A: Seriousness of impact					B: Potentiality of occurrence						
	0	1	2	3	4	5	0	1	2	3	4	5
Risks concerning the transmission of information between administration that registers the administrative identity and the other administrations that make requests to manage the person's file												

88. Do you perceive any substantial risks regarding the transmission of information/document between the different entities in the registration process, such as the delivery of the mobile identities to the persons concerned? If yes, please specify only **the most substantial risk** in the column 'specification of risk' and indicate in the column 'A, A, R' if that substantial risk means a risk of authenticity, availability or reliability. You may choose more than one option.

	No	Yes	Specification of risk	A, A, R
c. The delivery of the mobile identities to the persons concerned				Authenticity
				Availability
				Reliability

If **no risks** are identified above, please go to the questions regarding the **'transmission between entities'**, question number 90.

89. Please give for the perceived risks regarding the delivery of the mobile identities to the persons concerned a *general evaluation* of the seriousness of impact and the potentiality of occurrence in a scale from 0 to 5 (0=insignificant impact /unlikely occurrence, 5=catastrophic impact/ frequent occurrence).

	A: Seriousness of impact					B: Potentiality of occurrence						
	0	1	2	3	4	5	0	1	2	3	4	5
Risks concerning the delivery of the mobile identities to the persons concerned												

Measures

90. In the previous sections you have indicated several security risks regarding the different aspects of the registration process. Please indicate and specify if any (recent/extra) steps are taken to address these specific security risks?

B2: REGISTRATION OF NON NATIONALS

A. Questions on the process

Registering the administrative identity of a non national person

91. Are non nationals registered differently from national persons? If yes, where is the administrative identity of a foreign natural person registered? Are there any differences for different categories of foreigners, such as EU & EEA citizens, family of EU & EEA citizens, non-EU & non-EEA-citizens, refugees & asylumseekers? Please specify.

92. In what form is the administrative identity of a non-national person registered (e.g. official act, registration in book, computer file).

93. Which (source) documents must be delivered in order to register the administrative identity of a non national? Are there any differences for the migrant groups indicated above?

94. How can the uniqueness of a non national's identity be ensured? Which measures are undertaken to ascertain the authenticity of a non national? Are there any differences for the migrant groups indicated above?

95. Are any modifications on the administrative identity of a non national allowed? If yes, where are these modifications registered? Which authority is responsible for these modifications?

96. How is the administrative identity of a non national made available to the other authorities that might need it? Is this procedure is different from national persons?

--

B. Questions on the quality of the system and informational risks

97. Please give an *overall evaluation* of the quality of the registration process of non national residents in your country?

	Very poor	Poor	Average	Good	Very good
Quality of the registration process					

Please specify your judgment:

--

98. a. Do you perceive any substantial risks regarding the quality of the information delivered by the non national? If yes, please specify. NB: Only indicate the most substantial risk.

	No	Yes	Specification of risk
Quality of the information (delivered by the non national)			

99. a. Please give for the perceived risks regarding the quality of the information delivered by the non national a general evaluation of the seriousness of impact and the potentiality of occurrence.

	A: Seriousness of impact		B: Potentiality of occurrence	
Risks concerning the quality of information/ documents	0	insignificant	0	unlikely
	1	light	1	likely
	2	average	2	possible
	3	serious	3	probable
	4	critical	4	known
	5	catastrophic	5	frequent

98. b. Do you perceive any substantial risks regarding the quality of the registration process (registration, modification, storage, deactivation)? If yes, please specify. NB: Only indicate the most substantial risk.

	No	Yes	Specification of risk
Quality of the registration process (registration, modification, storage, deactivation)			

99.b. Please give for the perceived risks regarding the quality of the registration process a general evaluation of the seriousness of impact and the potentiality of occurrence.

	A: Seriousness of impact		B: Potentiality of occurrence	
Risks concerning the quality of the registration process (registration, modification, storage, deactivation)	0	insignificant	0	unlikely
	1	light	1	likely
	2	average	2	possible
	3	serious	3	probable
	4	critical	4	known
	5	catastrophic	5	frequent

98. c. Do you perceive any substantial risks regarding the quality of the actors (qualified agent, qualified civil servant)? If yes, please specify. NB: Only indicate the most substantial risk.

	No	Yes	Specification of risk
Quality of the actors (qualified agent, qualified civil servant)			

99.c. Please give for the perceived risks regarding the quality of the actors a general evaluation of the seriousness of impact and the potentiality of occurrence.

	A: Seriousness of impact		B: Potentiality of occurrence	
Risks concerning the quality of the actors (qualified agent, qualified civil servant)	0	insignificant	0	unlikely
	1	light	1	likely
	2	average	2	possible
	3	serious	3	probable
	4	critical	4	known
	5	catastrophic	5	frequent

98.d. Do you perceive any substantial risks regarding the quality of the locations and transmission of information between entities? If yes, please specify. NB: Only indicate the most substantial risk.

	No	Yes	Specification of risk
Quality of the locations and transmission between entities			

99.d. Please give for the perceived risks regarding the quality of the locations and transmission between entities a general evaluation of the seriousness of impact and the potentiality of occurrence.

	A: Seriousness of impact		B: Potentiality of occurrence	
Risks concerning the quality of the locations and transmission between entities	0	insignificant	0	unlikely
	1	light	1	likely
	2	average	2	possible
	3	serious	3	probable
	4	critical	4	known
	5	catastrophic	5	frequent

Measures

100. Please indicate and specify if any (recent/extra) steps are taken to address one or more of these specific security risks concerning the registration process of non-nationals.

--

CONFIDENTIAL

COPY/COMMUNICATION/CONSULTATION PROCESS
(the issuance of extracts of identity information for the use of an identity)

REMARKS

This section deals with questions about other formal documents / certified copies and informal copies of identity information for the use of an identity. We distinguish:

- Formal documents / certified copies: documents which have authentication features (e.g. social security cards, drivers licenses, etc.).
- Informal copies: all other documents (extracts of a birth certificate, family composition, etc.).

A. Questions on the process

Certified copies for public use

101. Which other formal documents/ certified copies bearing identity information are common in your country? (e.g. drivers license, social security card, temporary leave of stay for foreigners etc.)

102. In what form or format are those official copies produced? (e.g. physical document – paper or laminated-, electronic document, etc.)

103. What signs of trust can be found on those certified copies? (e.g. stamps, signatures, watermarks etc)

104. Who can request a certified official copy of the person's identity information for public use? (e.g. the person, a proxy, an authority – finance, police, justice, etc., an owner, etc.)

105. How does the applicant come into possession of a certified copy (e.g. via postal mail, email, download, personal physical presence, etc.)

Informal copy of identity information for private use

106. What are the different official identity acts and documents whose private copy is authorised? (e.g. birth, marriage, death certificate, etc.)

107. Who can request an informal copy of the identity information of a person (for example an extract of an official act) for a private use? (e.g. any person concerned, a proxy, an organisation?)

108. How are requests of the copies of (partial) information on the identity of a person made? (e.g. oral request in person, written request, by phone, email etc)

109. What is the form or format of such copies for private use? (e.g. photocopies, photographs, printout of a computer file, etc.)

110. How does the applicant come into possession of the copies for private use? (e.g. sent by post, by email, downloading from the internet, physical presence)

B. Questions on the quality and informational risks

111. Please give an *overall evaluation* of the quality of the copy process of national residents?

	Very poor	Poor	Average	Good	Very good
Quality of the creation process					

Please specify your judgment:

The section below contains questions concerning the perceived vulnerabilities and risks of the different aspects of the copy process. For each aspect the sequence of questions is as follows:

- Questions on perception of risks and specification of nature of risk
- Questions on assessment of the seriousness of impact in case an informational risk occurs and the potentiality of the occurrence of an informational risk.

For an assessment of the seriousness of the impact of a risk , use the following table:		For an assessment of the potentiality of occurrence of a risk , use the following table:	
0	Insignificant	0	Unlikely
1	Light	1	Likely
2	Average	2	Possible
3	Serious	3	Probable
4	Critical	4	Known
5	Catastrophic	5	Frequent

Quality of information

Certified copies for public use

112. Do you perceive any substantial risks regarding the quality of different documents or information of the copy process, such as certified copies for public use (e.g. drivers license)? If yes, please specify only **the most substantial risk** in the column 'specification of risk' and indicate in the column 'C, I, A' if that substantial risk means a risk of confidentiality of data, a risk of integrity of data or a risk of availability of data. You may choose more than one option.

	No	Yes	Specification of risk	C, I, A
a. Certified copies for public use (e.g drivers license)				Confidentiality
				Integrity
				Availability

If **no risks** are identified above, please go to question number 114.

113. Please give for the perceived risks regarding the certified copies for public use (e.g drivers license) a *general evaluation* of the seriousness of impact and the potentiality of occurrence in a scale from 0 to 5 (0=insignificant impact /unlikely occurrence, 5=catastrophic impact/ frequent occurrence).

	A: Seriousness of impact					B: Potentiality of occurrence						
	0	1	2	3	4	5	0	1	2	3	4	5
Risks concerning the certified copies for public use (e.g drivers license)												

114. Do you perceive any substantial risks regarding the quality of different documents or information of the copy process, such as informal copies for private use (e.g extract of acts)? If yes, please specify only **the most substantial risk** in the column 'specification of risk' and indicate in the column 'C, I, A' if that substantial risk means a risk of confidentiality of data, a risk of integrity of data or a risk of availability of data. You may choose more than one option.

	No	Yes	Specification of risk	C, I, A
b. Informal copies for private use (e.g extract of acts)				Confidentiality
				Integrity
				Availability

If **no risks** are identified above, please go to question number 116.

115. Please give for the perceived risks regarding the informal copies for private use (e.g extract of acts) a *general evaluation* of the seriousness of impact and the potentiality of occurrence in a scale from 0 to 5 (0=insignificant impact /unlikely occurrence, 5=catastrophic impact/ frequent occurrence).

	A: Seriousness of impact						B: Potentiality of occurrence					
	0	1	2	3	4	5	0	1	2	3	4	5
Risks concerning the informal copies for private use (e.g extract of acts)												

Quality of activities/processes

Realization and delivery of certified copy

116. Do you perceive any substantial risks regarding the quality of the different processes and procedures of the copy process, such as the realization and delivery of certified copies? If yes, please specify only **the most substantial risk** in the column 'specification of risk' and indicate in the column 'C, I, A' if that substantial risk means a risk of confidentiality of procedures, a risk of integrity of procedures or a risk of availability of procedures. You may choose more than one option.

	No	Yes	Specification of risk	C, I, A
a. Realization of a certified copy of the administrative identity and delivery of such documents				Confidentiality
				Integrity
				Availability

If **no risks** are identified above, please go to question number 118 regarding the transmission).

117. Please give for the perceived risks regarding the realization of a certified copy of the administrative identity and delivery of such documents a *general evaluation* of the seriousness of impact and the potentiality of occurrence in a scale from 0 to 5 (0=insignificant impact /unlikely occurrence, 5=catastrophic impact/ frequent occurrence).

	A: Seriousness of impact						B: Potentiality of occurrence					
	0	1	2	3	4	5	0	1	2	3	4	5
Risks concerning realization of a certified copy of the administrative identity and delivery of such documents												

Realization of an informal copy

118. Do you perceive any substantial risks regarding the quality of the different processes and procedures of the copy process, such as the realization of an informal copy of official records for private reasons? If yes, please specify only **the most substantial risk** in the column 'specification of risk' and indicate in the column 'C, I, A' if that substantial risk means a risk of confidentiality of procedures, a risk of integrity of procedures or a risk of availability of procedures. You may choose more than one option.

	No	Yes	Specification of risk	C, I, A
b. Realization of an informal copy of official records for private reasons				Confidentiality
				Integrity
				Availability

If **no risks** are identified above, please go to question number 120.

119. Please give for the perceived risks regarding the realization of an informal copy of official records for private reasons a *general evaluation* of the seriousness of impact and the potentiality of occurrence in a scale from 0 to 5 (0=insignificant impact /unlikely occurrence, 5=catastrophic impact/ frequent occurrence).

	A: Seriousness of impact						B: Potentiality of occurrence					
	0	1	2	3	4	5	0	1	2	3	4	5
Risks concerning realization of an informal copy of official records for private reasons												

Quality of the actors

Qualified agent

120. Do you perceive any substantial risks regarding the quality of the actors involved in the copy process, such as the qualified agent that produces and delivers the copies? If yes, please specify only **the most substantial risk** in the column 'specification of risk' and indicate in the column 'C, A, A, R' if that substantial risk means a risk of competence of the actors, a risk of authorization of the actors, a risk of availability of the actors or a risk of reliability of the actors. You may choose more than one option.

	No	Yes	Specification of risk	C, A, A, R
c. Qualified agent				Competence
				Authorization
				Availability
				Reliability

If **no risks** are identified above, please go to question number 122.

121. Please give for the perceived risks regarding the qualified agent a *general evaluation* of the seriousness of impact and the potentiality of occurrence in a scale from 0 to 5 (0=insignificant impact /unlikely occurrence, 5=catastrophic impact/ frequent occurrence).

	A: Seriousness of impact					B: Potentiality of occurrence						
	0	1	2	3	4	5	0	1	2	3	4	5
Risks concerning the qualified agent that produces and delivers the copies												

Qualified civil servant

122. Do you perceive any substantial risks regarding the quality of the actors involved in the copy process, such as the qualified civil servant in charge of controlling the qualified agent responsible for the production and delivery of copies? If yes, please specify only **the most substantial risk** in the column 'specification of risk' and indicate in the column 'C, A, A, R' if that substantial risk means a risk of competence of the actors, a risk of authorization of the actors, a risk of availability of the actors or a risk of reliability of the actors. You may choose more than one option.

	No	Yes	Specification of risk	C, A, A,R
d. Qualified civil servant				Competence
				Authorization
				Availability
				Reliability

If **no risks** are identified above, please go to question number 124.

123. Please give for the perceived risks regarding the qualified civil servant a *general evaluation* of the seriousness of impact and the potentiality of occurrence in a scale from 0 to 5 (0=insignificant impact /unlikely occurrence, 5=catastrophic impact/ frequent occurrence).

	A: Seriousness of impact						B: Potentiality of occurrence						
	0	1	2	3	4	5	0	1	2	3	4	5	
Risks concerning the qualified civil servant in charge of controlling the qualified agent responsible for the production and delivery of copies													

Quality of locations

Authority responsible for certified copies

124. Do you perceive any substantial risks regarding the locations-administrative entities where the copy process is taking place, such as the entity/authority responsible for the issuance of certified copies? If yes, please specify only **the most substantial risk** in the column 'specification of risk' and indicate in the column 'A, A' if that substantial risk means a risk of availability or a risk of access control. You may choose more than one option.

	No	Yes	Specification of risk	A, A
d. Entity/ authority responsible for the issuance of certified copies				Availability
				Access control

If **no risks** are identified above, please go to question number 126 regarding the transmission).

125. Please give for the perceived risks regarding the entity/ authority responsible for the issuance of certified copies a *general evaluation* of the seriousness of impact and the potentiality of occurrence in a scale from 0 to 5 (0=insignificant impact /unlikely occurrence, 5=catastrophic impact/ frequent occurrence).

	A: Seriousness of impact						B: Potentiality of occurrence						
	0	1	2	3	4	5	0	1	2	3	4	5	
Risks concerning the entity/ authority responsible for the issuance of certified copies													

Authorities responsible for informal copies

126. Do you perceive any substantial risks regarding the locations-administrative entities where the copy process is taking place, such as the entity/authority responsible for the issuance of informal copies? If yes, please specify only **the most substantial risk** in the column 'specification of risk' and indicate in the column 'A, A' if that substantial risk means a risk of availability or a risk of access control. You may choose more than one option.

	No	Yes	Specification of risk	A, A
e. Entity/ authority responsible for the issuance of informal copies				Availability
				Access control

If **no risks** are identified above, please go to question number 128.

127. Please give for the perceived risks regarding the entity/ authority responsible for the issuance of informal copies a *general evaluation* of the seriousness of impact and the potentiality of occurrence in a scale from 0 to 5 (0=insignificant impact /unlikely occurrence, 5=catastrophic impact/ frequent occurrence).

	A: Seriousness of impact					B: Potentiality of occurrence						
	0	1	2	3	4	5	0	1	2	3	4	5
Risks concerning the entity/ authority responsible for the issuance of informal copies												

Transmission of information between entities

128. Do you perceive any substantial risks regarding the transmission of information/document, such as the channel/means through which a person or an organization may request the copy of an act or of an extract of the administrative identity? If yes, please specify only **the most substantial risk** in the column 'specification of risk' and indicate in the column 'A, A, R' if that substantial risk means a risk of authenticity, availability or reliability. You may choose more than one option.

	No	Yes	Specification of risk	A, A, R
a. The channel/ means through which a person or an organization may request the copy of an act or of an extract of the administrative identity.				Authenticity
				Availability
				Reliability

If **no risks** are identified above, please go to question number 130.

129. Please give for the perceived risks regarding the channel/means through which a person or an organization may request the copy of an act or of an extract of the administrative identity a *general evaluation* of the seriousness of impact and the potentiality of occurrence in a scale from 0 to 5 (0=insignificant impact /unlikely occurrence, 5=catastrophic impact/ frequent occurrence).

	A: Seriousness of impact					B: Potentiality of occurrence						
	0	1	2	3	4	5	0	1	2	3	4	5
Risks concerning the channel/means through which a person or an organization may request the copy of an act or of an extract of the administrative identity												

130. Do you perceive any substantial risks regarding the transmission of information/document, such as the channel through which the copies are delivered to the applicant? If yes, please specify only **the most substantial risk** in the column 'specification of risk' and indicate in the column ' A, A, R' if that substantial risk means a risk of authenticity, availability or reliability. You may choose more than one option.

	No	Yes	Specification of risk	A, A, R
b. The channel through which the copies are delivered to the applicant				Authenticity
				Availability
				Reliability

If **no risks** are identified above, please go to question number 132.

131. Please give for the perceived risks regarding the channel through which the copies are delivered to the applicant a *general evaluation* of the seriousness of impact and the potentiality of occurrence in a scale from 0 to 5 (0=insignificant impact /unlikely occurrence, 5=catastrophic impact/ frequent occurrence).

	A: Seriousness of impact					B: Potentiality of occurrence						
	0	1	2	3	4	5	0	1	2	3	4	5
Risks concerning the channel through which the copies are delivered to the applicant												

Measures

132. In the previous sections you have indicated several security risks regarding the different aspects of the copy process. Please indicate and specify if any (recent/extra) steps are taken to address these specific security risks?

Your comment

Now that you have almost completed the questionnaire, we'd like to give you the opportunity to make a comment of any kind whatsoever. Please feel free to do so in the subsequent section.

133. Your comments



CONFIDENTIAL

END OF QUESTIONNAIRE

On behalf of the ASINP-team we would like to thank you very much for your cooperation!